



## RSA-1 Deferred Compensation Plan Enrollment

Retirement Systems of Alabama  
PO Box 302150, Montgomery, Alabama 36130-2150  
877.517.0020 • 334.517.7000 • www.rsa-al.gov



### Your SSN

\_\_\_\_\_

#### Your Information

Name \_\_\_\_\_  
First Middle/Maiden Last

Mailing Address \_\_\_\_\_  
Street or P.O. Box City State ZIP Code

Telephone Number \_\_\_\_\_ Email Address \_\_\_\_\_

Date of Birth \_\_\_\_\_ Sex ☐ Male ☐ Female

#### Employer Information

Employer \_\_\_\_\_  
Agency Name

Address \_\_\_\_\_  
Street or P.O. Box City State ZIP Code

Telephone Number \_\_\_\_\_ Email Address \_\_\_\_\_

My current status is:

☐ Employees' Retirement System (ERS) member ☐ Judicial Retirement Fund (JRF) member

☐ Teachers' Retirement System (TRS) member ☐ I am not a member of ERS, TRS, or JRF

#### Regular Pre-Tax Contributions

I elect the following investment option for future contributions. You can elect to have 100% in the fixed income, equity, or short-term investment option election or split the percentages between the investment options, but they must add up to 100%.

Invest \_\_\_\_\_ % of **pre-tax contributions** in the RSA-1 **FIXED INCOME** investment option.

Invest \_\_\_\_\_ % of **pre-tax contributions** in the RSA-1 **EQUITY** investment option.

Invest \_\_\_\_\_ % of **pre-tax contributions** in the RSA-1 **SHORT-TERM** investment option.

#### Regular Roth Contributions

I elect the following investment option for future contributions. You can elect to have 100% in the fixed income, equity, or short-term investment option election or split the percentages between the investment options, but they must add up to 100%.

Invest \_\_\_\_\_ % of **Roth contributions** in the RSA-1 **FIXED INCOME** investment option.

Invest \_\_\_\_\_ % of **Roth contributions** in the RSA-1 **EQUITY** investment option.

Invest \_\_\_\_\_ % of **Roth contributions** in the RSA-1 **SHORT-TERM** investment option.

#### DROP, 457(b) Transfer PLOP, ERIP, TSP Rollover Accounts Only

I elect the following investment option for:

**Check one:** ☐ DROP ☐ 457(b) Transfer ☐ PLOP ☐ ERIP ☐ TSP

You can elect to have 100% in the fixed income, equity, or short-term investment option election or split the percentages between the investment options, but they must add up to 100%.

Invest \_\_\_\_\_ % of **funds** in the RSA-1 **FIXED INCOME** investment option.

Invest \_\_\_\_\_ % of **funds** in the RSA-1 **EQUITY** investment option.

Invest \_\_\_\_\_ % of **funds** in the RSA-1 **SHORT-TERM** investment option.

Continue to page 2 where your signature is required.

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Name \_\_\_\_\_ SSN \_\_\_\_\_

### Investment Options

RSA-1 **FIXED INCOME** investment option: The fixed income portfolio is invested in various debt instruments with maturities greater than one year, such as corporate bonds, U.S. agency obligations, mortgage obligations, and commercial paper.

RSA-1 **EQUITY** investment option: The equity portfolio is invested in a S&P 500 Index Fund.

RSA-1 **SHORT-TERM** investment option: The short-term investment fund (STIF) could include high-quality money market securities, U.S. Treasury bills or notes, and U.S. Government agency notes with a maturity of one year or less.

**Please note that Fixed Income, Equity, and Short-Term Investment Options are all subject to market fluctuations.**

### Signature Certification

**I understand the following regarding this investment option election:**

My election must be made prior to the funds being submitted or transferred.

My election will remain in effect until a subsequent election is made, but it must remain in effect for **one day**.

**Please read carefully as the following statements will apply to your RSA-1 account:**

I have designated my beneficiaries on the separate BENEFICIARY DESIGNATION form (return to RSA-1).

I will complete an AUTHORIZATION TO DEFER COMPENSATION form and deliver it to **my payroll officer** to begin deferrals.

**Please allow at least two business days** to process the RSA-1 ENROLLMENT and BENEFICIARY DESIGNATION forms.

I understand that I may not withdraw this account unless I meet one of the following conditions:

1. Separation from service through retirement or termination from employment
2. The attainment of age 70 ½
3. Unforeseeable emergency (must be approved by Plan Administrator)
4. Small Balance Distribution

If money is distributed from a Roth account during the first five years, you will incur a tax penalty.

Your signature affirms your understanding of each of these statements and is your agreement to be bound by the terms and conditions set forth in the amended and restated RSA-1 Plan Document, which is located on the RSA website.

**Sign Here →** Your Signature \_\_\_\_\_ Date \_\_\_\_\_