Answers to Vendor Questions Related to Request for Proposals

for

Service Organization Controls 1 Reporting Services

for the

Retirement Systems of Alabama

For the period January 1, 2014- September 30, 2014

and

4 fiscal years ending September 30, 2018

RFP 14-011

1. Is RSA open to discussing/negotiating the terms and conditions of the agreement?
   Answer: Terms and conditions must follow Alabama law.

2. Would RSA consider a shorter duration for year one report (4 months) considering the proposed diagnostic would be 8 to 12 weeks with a period end of 9/30?
   Answer: For year one, please provide a viable recommendation based upon RSA's desire to provide to participating employers a SOC report to meet their needs as of September 30, 2015 when the participating employers will be implementing GASB 68.

3. How many 6/30 year end clients do you have?
   Answer: less than 30 with a year-end different from 9/30

4. With regard to the 6/30 clients, would RSA be open to the options noted below? A bridge letter (9 months), separate agreed upon procedure engagement for the 6/30 clients only (year one), year two for 6/30 clients do a 9 month period (10/1 thru 6/30) ,
   Answer: Provide your recommended course of action given that we have some 12/31, 3/31, and 6/30 year end units in addition to the 9/30 units.

5. How many systems/applications are used in the processing of transactions? Can you please provide their names and a brief description of each?
   Answer: Benefits currently uses a legacy COBOL based system for benefits administration and member benefit disbursements. Investment Accounting uses the Sungard APS2 product for the investment book of record. Investments and Investment Accounting are implementing a Trade Order Management System (Eze). Microsoft Dynamics GP is used for general ledger.

6. Where on the RSA website should I look for answers to these questions on 2/5?
   Answer: In the ITB section underneath the RFP.